



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Andrew Hausman

Application No.: 09/584,045

Filed: May 30, 2000

For: ELECTRONIC TRADING SYSTEM FOR ELECTRICITY  
FORWARDS

Examiner: Sandra S. Snapp      Group Art Unit: 3624

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**RECEIVED**  
APR 27 2004  
**GROUP 3600**

**SECOND SUPPLEMENTAL RESPONSE TO OCTOBER 1, 2003 OFFICE ACTION**

Sir:

This paper supplements the Response filed on April 1, 2004. Page 30 of that Response states that:

It is submitted that Amended claims 19 and 35 define a trading system and method in which forwards spread trading is carried out substantially differently from the types of trades described in Wilton, et al. and in some of the newly cited references.

To assist the Examiner's review of the newly cited references in connection with claims 19 and 39, below is a list of occurrences in the newly cited references of the word "spread" as found by an electronic word search of the respective document accessed from the USPTO's website.

**U.S. Patent No. 6,421,653**

Column 10, line 49  
Column 20, lines 30,39,43,49,54,58  
Column 23, Table 1, line 23  
Column 40, line 13  
Column 44, line 60

**U.S. Patent No. 6,347,307**

Column 14, line 29

**U.S. Patent No. 6,317,727**

Column 10, line 22  
Column 19, line 62  
Column 20, lines 4,8,14,19  
Column 22, Table 1, line 34  
Column 38, line 53  
Column 43, line 30

**U.S. Patent No. 6,343,278**

Column 8, Table 1, line 12

**U.S. Patent No. 6,282,521**

Column 4, line 9

**U.S. Patent No. 5,832,462**

Column 8, line 30

**U.S. Patent Application Publication 2002/0116317**

Page 4, paragraph 0081, line 4  
Page 9, paragraph 0154, line 4  
Page 9, paragraph 0156, line 1  
Page 9, paragraph 0157, line 1  
Page 9, paragraph 0159, line 1  
Page 9, paragraph 0160, line 1  
Page 11, Table 1, line 1  
Page 20, paragraph 0285, line 10  
Page 22, paragraph 0309, line 16  
Page 29, paragraph 0372, line 4  
Page 30, paragraph 0380, line 5  
Page 31, paragraph 0382, line 9

**U.S. Patent Application Publication 2002/0107781**

Page 1, paragraph 0012, line 8

**U.S. Patent Application Publication 2002/0099651**

Page 6, paragraph 0091, line 4  
Page 11, paragraph 0165, line 5  
Page 11, paragraph 0167, line 3  
Page 11, paragraph 0168, line 1  
Page 11, paragraph 0170, line 1  
Page 11, paragraph 0171, line 1  
Page 13, Table 1, line 19  
Page 22, paragraph 0291, line 9  
Page 24, paragraph 0315, line 16

**U.S. Patent Application Publication 2002/0082976**

Page 2, paragraph 0031, line 11

**U.S. Patent Application Publication 2001/0037284**

Page 3, paragraph 0023, line 20  
Page 9, paragraph 0066, line 1  
Page 10, paragraph 0071, line 9

While Applicant's undersigned representative believes the list to be accurate, the Examiner is invited to review all of the newly cited references in their entirety not only with respect to occurrences of "spread" and claims 19 and 39, but also in connection with all claims under consideration.

Respectfully submitted,

Dated: April 23, 2004



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